

Form PTO-1449 (modified)

Atty. Docket No. Graff-P1-03

Serial No.
10/611,305

List of Patents and Publications for Applicant's

Applicant: Richard A. Graff

Filing Date:
June 30, 2003Group:
3625

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

U.S. Patent Documents
See Page 1Foreign Patent Documents
See Page 1Other Art
See Page 1

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	A1						
	A2						

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
JNP	C1	"Master Limited Partnership (MLP)," Wikipedia, March 7, 2007, http://en.wikipedia.org/wiki/Master_limited_partnership , printed 4/2/07, Pgs. 1.
	C2	"Master Limited Partnership (MLP)," http://www.streetauthority.com/search/printpage.asp , printed 4/2/07, Pgs. 1-2.
	C3	"Income Trust," Wikipedia, http://en.wikipedia.org/wiki/Income_trust , printed 4/2/2007, Pgs. 1-13.
	C4	"Tax and Other Issues Related to Publicly Listed Flow-Through Entities (Income Trusts and Limited Partnerships)," Department of Finance Canada, http://www.fin.gc.ca/activity/pubs/toirplf_1e.html , printed 4/2/2007, Pgs. 1-25.
	C5	"Appendix A, Funds and Taxation," 2005 Investment Company Fact Book, http://www.ici.org/factbook/05_fb_appa.html , printed 4/2/2007, Pgs. 1-4.
	C6	"Income Trusts: What's Behind the Change," CBS News, January 29, 2007, http://www.cbc.ca/news/background/personalfinance/incometrusts.html , printed 4/2/2007, Pgs. 1-3.
	C7	"Income Trust Rules Should Have Changed Sooner: Dodge," Ward, J., CNEWS, February 1, 2007, http://cnews.canoe.ca/CNEWS/Canada/2007/02/01/pf-3498623.html , printed 4/2/2007, Pgs. 1-2.

EXAMINER: *Raymond*

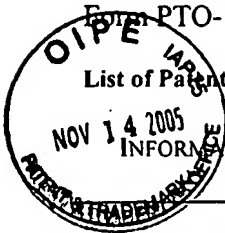
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EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

C: 56468(GRAFF-P1-03.1449.3.DOC)

11/14/05

Form PTO-1449 (modified)		Atty. Docket No. Graff-P1-03	Serial No. 10/611,305
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U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
JWP	A1	US 2002/0138419	Sep. 26, 2002	Melone et al.	705	38	Mar. 22, 2002
J	A2	US 2003/0229555	Dec. 11, 2003	Marlowe-Noren	705	38	Nov. 15, 2002
	A3						

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
JWP	C1	Copies of Web Pages of Projector Center labeled as Projector Center, 2000-2002, projectorcenter.com, http://web.archive.org/web/20021026135235/http://www.projectorcenter.com/lease_end.asp , printed 7/19/05

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

C: 5646R(GRAFF-P1-03.1449.2.DOC)

6/30/03

Form PTO-1449 (modified)	Atty. Docket No. Graff-P1-03	Serial No.
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U.S. Patent Documents

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	A1						
	A2						

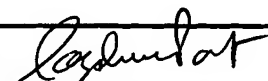
Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
JW	C1	Richard A. Graff, "Rethinking Components of Real Estate Value", <i>Pensions & Investments</i> , Pg. 42, October, 1991
	C2	Richard A. Graff, "The Impact of Tax Issues on Real Estate Debt and Equity Separation", <i>Real Estate Review</i> , 20:3, Pages 50-58 (1990)
	C3	Booth, Cashdan, and Graff, "Real Estate: A Hybrid of Debt and Equity," <i>Real Estate Review</i> , Pgs. 54-58 (1998)
	C4	Richard A. Graff, "Some New Ideas in Real Estate Finance," <i>Journal of Applied Corporate Finance</i> , 3:1, Pgs. 77-89 (1990)
↓	C5	Richard A. Graff, "Perspectives on Debt-and-Equity Decomposition for Investors and Issuers of Real Estate Securities," <i>Journal of Real Estate Research</i> , Vol. 7, No. 4, Pgs. 449-467 (1992)

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